THE PĀLI APADĀNA COLLECTION

The Apadāna is a collection of stories (apadāna-s²) written in verse, most of which are ascribed to, and deal with the lives of, Buddhist elder monks (thera-s) and nuns (therī-s). These elders are acknowledged as direct disciples (sāvaka-s, lit. "hearers") of Gotama Buddha who had been members of his monastic community, the sangha, for more than ten years. It is a long and unwieldy collection consisting of around eight thousand verses³ distributed among 592 apadana-s, the shortest of which contains fewer than ten verses, the longest over two hundred. It is arranged into four parts: Buddhāpadāna, Paccekabuddhāpadāna, Therāpadāna and Therī-apadāna. The first two of these each contain a single eponymous apadāna ascribed to Gotama Buddha himself. Although they have identical introductory verses, there is no other connection between the two poems in either style or content, and their connection to the other two parts of the collection is similarly tenuous. In the Therāpadāna, verse stories ascribed to 550 elder monks⁴ and conforming generally to a standard stylistic pattern are related. These stories are divided between 55 sections (vagga-s), each containing ten apadāna-s. In the Therī-apadāna, forty stories about elder nuns, which also generally conform to the standard apadana pattern, are divided evenly into four sections, each containing ten apadāna-s.

¹ This article on the *Apadāna* is based on the seven manuscripts and three printed editions of the text used in preparing my D.Phil. thesis for Oxford University.

² To reduce the number of foreign words in this paper, I have generally given the Pāli terms without Sanskrit equivalents. I have, however, used Sanskrit terms such as *karma* and *nirvāṇa* where they are better known.

³ The exact number of verses is as yet unestablished due to the corrupt nature of the text.

⁴ This figure, which appears to have been settled upon by the majority of modern editors despite inconsistent evidence, will be discussed further below.

While the formal structure of the $Apad\bar{a}na$ text ($Apad\bar{a}na$ - $p\bar{a}li$ or Apadāna-pāṭha) is somewhat problematic, the individual verse stories have a unity of content. The basic theme which underlies all the stories in the collection, with the exception of the Paccekabuddhāpadāna, is the efficacy of the law of karma (Pāli kamma), "effective (deliberate) action, (deliberate) action and its result". The primary purpose of the Apadana is to explore, within a particular Buddhist framework, the doctrine that good actions based on good intentions bring about good results and bad actions based on bad intentions bring about bad results. The Critical Pāli Dictionary describes the Apadana as "tales in verse about the past karma of Buddhist saints".1 In the apadana-s of the elder monks and nuns, the actions performed and the results obtained are almost always good. The actions described are generally also connected to a secondary theme of aspiration to future sāvaka-hood and attainment of arahant-ship (arahatta, "perfection"); the resulting liberation from the cycle of samsāra, "continuing existence", was the central concern of the Buddha's teaching. The Apadana can thus be placed within the genre of didactic or homiletic literature in which stories are used to illustrate and interpret doctrinal points, particularly for the edification of pious lay people. The collection is regarded as belonging to the scriptural literature of the Theravadin Pali canon by Buddhists in countries such as Myanmar (formerly Burma), Sri Lanka and Thailand. Like its structure, however, the place of the Apadana in the fixed canon of sacred texts handed down by the orthodox Theravada tradition of the Mahaviharavasins, and in Buddhist literature as a whole, is problematic. These problems will be discussed below.

The term apadana, like the corresponding Sanskrit term ayadāna, has often been translated as "glorious, noble or heroic deed".1 With this meaning, the term is derived from the Sanskrit verbal root ava \sqrt{dai} , "to purify, cleanse", and thence from the adjectival form avadāta, "pure, excellent". Most of the poems in the Pāli collection are indeed concerned with meritorious and pious former actions of the elder monks and nuns to whom they are attributed. It seems probable, however, that this meaning came to be imputed to the term as a result of the nature of such stories in the Pāli Apadāna and those in the major early Sanskrit avadāna collections, the original meaning being thereby superseded and obscured. Paul Mus, speaking about the Indian influence on local beliefs in the ancient South-East Asian kingdom of Champa, sounded a warning note which I think is relevant here, if for the word "belief" is substituted "collection". He remarked: "The way in which a belief is described and explained once it is formed, and the origins which are attributed to it at that stage, are necessarily different from the way in which it was invented and from its real origins".2

The word apadāna occurs in the title of one of the discourses (sutta-s) in the Dīgha Nikāya, the first collection of the Sutta Piṭaka section of the Pāli canon, which contains the long sermons attributed to Gotama Buddha. The Buddha is said to have preached the Mahāpadāna Sutta (The Great Discourse on the Lineage)³ to a group of monks as a "sermon on the subject of past states [of existence]".⁴ It deals with the lives of seven buddhas, Gotama and the six buddhas who immediately preceded him, and is concerned only to describe the events of the final

¹ CPD I p. 267.

¹ See e.g. MW p. 99; Winternitz p. 152; Norman p. 89, and Khoroche's Introduction to his translation of the *Jātakamāla* p. xiv. See also Handurukande's Introduction to the *Maṇicuḍāvadāna* pp. xx-xxii.

² Paul Mus p. 22.

³ D II 1-54 (= D XIV). Translation THIH pp. 199-221; I have used Walshe's translation of the title. See also Norman p. 36.

⁴ D II 2,6-7: pubbenivāsapatisamyuttam dhammim katham.

lifetime of each, during which enlightenment (bodhi, "awakening") was attained and buddhahood achieved. Through the detailed story of the first in this set of seven buddhas, Vipassī, a pattern is established to which the lives of all buddhas, including Gotama, conform. The only differences lie in personal details such as the time and situation in which they were born and attained enlightenment, and the names of the people closely associated with them. In describing this particular set of lives, Gotama Buddha appears to have been attempting to establish an authority for himself as realiser and teacher of the doctrine, the dhamma, by reference, not to his own past lives, but to the lives of buddhas from the past. The stories in this sutta, in that they celebrate success in the search for enlightenment and the subsequent establishment of a system of instruction (sāsana), could certainly be called "stories of glorious deeds", supporting the popular understanding of the term apadana. They are, however, very different in purpose from those related in the Thera- and Therī-apadāna-s, in which events from the past lives of the elder monks and nuns are linked karmically to their own lives at the time of Gotama Buddha. It is this which makes the use of the term apadāna unusual in the Mahāpadāna Sutta rather than its connection with the Buddha, as has been suggested elsewhere.1

There are indeed a number of texts besides the Mahāpadāna Sutta in which the word apadāna is associated with the Buddha and in which his past lives as a bodhisatta, "future buddha", are described in order to explain the events of his final life and his attainment of buddhahood. The Buddhāpadāna itself contains the story of a deed performed in one of Gotama's past lives which is linked to his triumphant final life. Norman points out that the use of the word apadāna in the Mahāpadāna Sutta resembles that of the word avadāna in the colophons of many of the chapters in the Mahāvastu.² This Sanskrit

work is a collection of stories (based on a recension of the Mahāsānghika-Lokottaravādin Vinaya) which illustrates the virtues of Gotama Buddha, often by reference to his past lives. There are many Sanskrit avadāna-s which relate exploits performed by Gotama in previous births, a number of them contained in verse collections known as avadānamāla-s, "garlands of avadāna-s". Two canonical Pāli texts apart from the Buddhāpadāna refer to themselves as apadāna-s of the Buddha (buddhāpadāna-s): the Pubbakammapiloti apadāna, which is also included in the Apadana collection, and the Cariyapitaka, which is the fifteenth book of the Khuddaka Nikāya collection and which is described in its colophon as buddhāpadāniyam. In each of these texts, aspects of the final life and character of Gotama are explained through their connection with actions performed by him in former lives. It is this way of using "past lives", exemplified particularly in the poetic extended autobiographies of elder monks and nuns which comprise the major part of the Apadana collection, which should be recognised in any interpretation of the term apadana.

The usage of the word apadāna in another sutta from the Dīgha Nikāya provides an earlier and more relevant derivation than that previously considered. The word occurs twice in the Aggañña Sutta (The Discourse on Knowledge of Beginnings),² in the course of which the Buddha tells a story about the origin of our world. In this context, apadāna is used with the meaning of "cutting (in an agricultural sense) or reaping": "and where it [rice] was reaped, it did not grow again, and the cut place showed". Here the term is derived from the Vedic Sanskrit

¹ Norman p. 94.

² Norman p. 24.

¹ Cp 37,2. I.B. Horner translates this as "Heroic Stories of the Buddha" in *Basket of Conduct* p. 50,10–11.

² D III 80-98 (= D XXVII). Translation, THIH pp. 408-15; I have used Walshe's translation of the title. A comparatively late date for this *sutta* is suggested in Norman pp. 41-42.

³ D III 90: lūnam pi na ppaṭivirūļham apadānam paññāyittha. Translation, THIH p. 412,21–22.

verbal root $ava \sqrt{do}$, "to cut, break off, divide", and is used in the sense avakhandane, "cutting off, reaping". There are many examples in the Buddha's teachings of his redefining terms from the society in which he lived, which was dominated by the brahminical religion and its ideology. There are, in particular, numerous examples of his use of agricultural terms, the meanings of which he altered and extended within the framework of his message. In the $Kasibh\bar{a}radv\bar{a}ja$ Sutta of the Suttanipāta, for example, the Buddha explains to the brahmin Kasibhāradvāja that he too ploughs and sows, with faith as his seed and wisdom as his yoke and plough. Again, in a passage from the Anguttara Nikāya, the fourth collection of the Sutta Piṭaka, the Buddha says: "karma is the field, consciousness the seed, craving the moisture [making the seed grow]". 3

As has been noted above, the basic theme of all but one of the apadāna-s in the Pāli collection is the working of karma. The image of reaping is particularly applicable to this doctrine and, as Norman points out in this connection,⁴ there is a complementary metaphorical usage of the words "sowing" and "reaping" in English. The interpretation of the term as "(one's) reapings" enables us to understand the apadāna-s as stories to illustrate the reaping in a present life of the fruit (phala) or result of good or bad deeds performed in the past. The entry for the word apadāna in the Critical Pāli Dictionary⁵ provides a possible sequence for the development of an idiomatic sense of the word as "exploit, result, work" without, however, indicating the connection with the doctrinal theme of karma which is basic to the apadāna type of literature. In his work on Sanskrit avadāna-s, Strong often translates the word avadāna

as "karmic history" or "karmic biography". In an article on avadāna specialists, he quotes a verse from the tenth chapter of the Kalpadrumāvadānamālā which reveals the concern of the compilers of the Sanskrit avadāna collections with the theme of karma: "From dharmic action beings obtain bliss. From evil action they are allotted suffering. From mixed action they come to enjoy mixed fruits.' Thus spake the avadānists". In his recent book on the monk Upagupta, whom he regards as the "patron-saint" of the avadāna specialists, Strong defines avadāna (which he equates with apadāna) as: "a genre of Buddhist story usually showing the workings of karma through the deeds of ordinary individuals".

This consideration of apadana-s as karmic biographies, in which the present is explained through the description of events from past lives, is reflected in modern usage of the term to denote "history, life-story, biography (especially of a religious figure)" in Sri Lanka and Thailand. This is reflected in titles such as the Kālapavattikathā Therapadana, which is that of the recent biography of a renowned Thai monk. It is also consistent with the narrative format of the apadana-s of the elder monks and nuns in the canonical collection, in which success in escaping from the cycle of samsāra, "continuing existence", is linked with former behaviour. While the Buddhāpadāna also deals with a past action and its result, it does not completely conform to the standard narrative pattern (discussed below) which is followed, to a greater or lesser degree, in the Thera- and Therī-apadāna-s. Furthermore, the presentation of the karmic connection between the particular pious deed of the Bodhisatta which it describes and its fruit, the attainment of enlightenment, is so understated that it has not always been noticed.³ We

¹ See CPD I p. 449.

² Sn pp. 12–16 (= Sn I,4). Translation GD II pp. 8–10.

³ A I 223,21–22: kammam khettam viññānam bijam tanhā sineho.

⁴ EV I p. 133, note on the word apadana in Th 47.

⁵ CPD I p. 267.

¹ Strong (1) p. 867.

² Strong (2) p. 348 (Glossary).

³ This appears to be the case in D.L. Barua's article on the *Buddhāpadāna* and in Bechert (3) pp. 101–2.

have already noted that the *Mahāpadāna Sutta* is concerned simply to describe the glorious final lives of the seven buddhas and does not attempt to explain them by connecting them with their past lives.

There is, however, a type of text, closely linked with the apadāna genre, which is particularly concerned to develop karmic links between previous births of Gotama Buddha and episodes in his final life. This is the $j\bar{a}taka$ literature, which includes the canonical $J\bar{a}taka$ collection of the Khuddaka Nikāya and also a large number of "apocryphal" jātaka-s, fifty of which comprise the South-East Asian Paññasa-jātaka collection. The non-canonical prose stories of the Jātakatthavannanā, the commentary on the verses of the Jātaka collection, are primarily descriptions of the past career of the Bodhisatta and of his fulfillment of the ten perfections (pāramī-s, pāramitā-s) essential to his attainment of buddhahood.1 The stories of good deeds performed by him in more than 500 previous human and non-human births² are presented as being related by the Buddha in order to explain incidents in his final life. They are introduced by a story of the present (paccuppannavatthu) which sets out the circumsances in which the past story came to be told. These are connected with the final linking statement (samodhāna) which connects the Buddha and those people close to him in his final life with the events in the story of the past (atītavatthu). The jātaka stories thus underline a view of the universe characterised by karmic interconnection and progression. They also imply the validity of similar, extended karmic biographies of people other than the Buddha such as those found in the Thera- and Therī-apadāna-s. In his early

study of Buddhist Sanskrit literature in Nepal, Mitra classed the *jātaka* as part of the *avadāna* genre: "In fact, the avadāna of the Nepalese is the class of which the Jātaka is an order. The former treats of the anterior lives of Sākya Buddha as well as of other persons, whereas the latter is confined to Sākya only."

The apadāna stories of the elder monks and nuns are introduced by a story of the past which describes a meritorious action generally, although not necessarily, one performed in honour of a former buddha or paccekabuddha. Those stories which conform to the ideal apadāna pattern show this pious action to have been instigated by faith and by the desire to attain a senior position in the monastic order of a future Buddha, and include a prophecy concerning the successful achievement of the goal. The aspirant is, by his or her action, established in a career directed at the attainment of the desired position and, in many apadāna-s, descriptions of intermediate births illustrate his or her progress towards the goal and link the past story to that of the present. The story of the present is concerned with the fulfillment of the aspiration and the consequent achievement of arahant-ship in the time of Gotama Buddha, as a member of his saṅgha.

The apadāna of the elder nun Paṭācārā (ThīAp 20) contains all the features of a completely developed apadāna and connects her, in both past and present lives, with six other women, five of whom also became nuns in Gotama's saṅgha and attained arahant-ship. It contains two stories of past lives, the first set in the distant past during the time of Padumuttara Buddha. She reveals that she acquired faith in that buddha after hearing him preach, and was then inspired by his establishment of a certain nun as foremost among those who know the Vinaya by heart to make a mental resolve to attain a similar position in the future. This

¹ This is the number of perfections according to the Theravādin tradition. In the Mahāyāna tradition, six perfections are enumerated.

² As with the *Apadāna*, the total number of stories properly included in the *Jātaka* collection is difficult to establish. The PTS edition by Fausbøll contains 547 *jātaka*-s although certain collections from Myanmar and Sri Lanka are said to contain 550.

¹ Mitra (Introduction) p. xli.

resolve was followed by an act of alms-giving (dāna) in honour of Padumuttara Buddha, and by a verbal aspiration in his presence for the position she desired. The former buddha then prophesied that she would obtain her wish in the future, as a disciple (sāvikā) of Gotama Buddha. For the rest of that life she served him with devotion, as a result of which she was born in a later life as one of seven daughters of the King of Kāsi who served Kassapa Buddha continuously for twenty thousand years while living the household life. The story of the final birth of Paṭācārā is well-known from a variety of sources, including the commentaries on the Therīgāthā and the Dhammapada. According to the tradition, she became mad with grief following the deaths of her husband, children, parents and brother but was consoled by Gotama Buddha and admitted to the community of nuns. As a nun, she quickly attained arahant-ship and was declared by the Buddha to have achieved the foremost position for which she had aspired so many aeons before.

The Paccekabuddhāpadāna, despite its place in the collection, is not truly an apadāna and its inclusion is anomalous, reflecting the doctrinal motives of the compiler of the Apadāna rather than the nature of the poem itself. Although it is not formally an apadāna of the Buddha, the Paccekabuddhāpadāna is said to have been related by Gotama Buddha "for the purpose of explaining the doctrine". However, this attribution and the set of introductory verses which are almost identical to those in the Buddhāpadāna, cannot disguise the fact that the Paccekabuddhāpadāna was deliberately composed around the verses of another canonical work in order to complete the creation of a formal structure for the Apadāna collection. As part of his answer to the elder monk Ānanda's request for information about paccekabuddhas, the Buddha quotes the whole of the Khaggavisāṇa Sutta. This poem is the third in the Suttanipāta collection although there is no indication in the sutta itself

that the verses are to be connected with paccekabuddhas. While the Apadāna commentary states that the Buddha recited the Paccekabuddhāpadāna because "the resolve and aspiration of the Buddhas is known, likewise [the resolve and aspiration] of the sāvaka-s, but [that] of the paccekabuddhas is not known", these verses do not deal with resolves or aspirations. Furthermore, there is no attempt in either the Khaggavisāṇa Sutta or the Paccekabuddhāpadāna to develop causal connections between the past and present lives of even a single paccekabuddha, or to karmically explain the achievement of paccekaenlightenment (paccekabodhi) and thereby provide a model of effective behaviour.

Former studies of the Buddhāpadāna have concentrated on the unusual features it possesses, which distinguish it in the context of Theravādin canonical literature, rather than on its place within the Apadāna collection. It does appear from the beginning of this poem that it may have originated in a separate collection of apadāna-s of the Buddha,³ and Saddhatissa refers to a Laotian collection containing a text called the Buddhāpadāna which was transmitted as an independent work.⁴ However, despite its distinctive features, it is the features which link the Buddhāpadāna with the other poems in the Apadāna collection which are relevant in this discussion. After the introductory verses, which establish the circumstances in which the Buddhāpadāna was related and link this poem with the two others in the collection attributed to the Buddha, the apadāna itself begins with a statement by the Buddha

¹ PBAp v. 57: dhammavijānanattham.

¹ The connection with paccekabuddhas is made in the *Culla Niddesa* and in the closing verses of the *Paccekabuddhāpadāna*. See also Norman p. 65.

² Ap-a 139,6–7: buddhānam patthanā ca abhinīharo ca dissati tathā sāvakānam paccekabuddhānam na dissati.

³ In BAp v. 5 the Buddha instructs his audience to listen "to the *apadāna*-s of the Buddha/buddhas" (*buddhāpadānāni*) and the significance of the use of the plural here requires investigation.

⁴ Saddhatissa (2) p. 328.

that he made a resolve for buddhahood under previous buddhas. 1 The past story in this apadana is unusual in that it deals with an episode from a former life in which the Bodhisatta was close to the end of his path to buddhahood and it describes a mental rather than a physical offering performed as an act of homage. The Buddha describes how he mentally created a jewelled mansion, filled with countless numbers of buddhas, paccekabuddhas and "disciples of the Conquerors" (jinasāvaka) and located within a glorious buddha-field (buddha(k)khetta), as a pious action. It is apparent that, just as the physical acts of piety described in the apadana-s of the elder monks and nuns reflect the capabilities of the beings performing the actions, the act of pious visualisation described in the Buddhāpadāna reflects the advanced spiritual attainments and meditative skills of the Bodhisatta and is thus an appropriate offering from a being near the end of the path to enlightenment. Significantly, when the Buddha relates the result of this action, he uses the same formulaic verse as that found in the apadana-s of elder monks and nuns: "By reason of that well-done deed and the aspirations of [my] will, on leaving my human body I went to the Tavatimsa heaven".2 The Buddhāpadāna thus contains many of the features associated with an ideal apadana although, interestingly, the story of the Bodhisatta's first resolve for buddhahood, and the prophecy concerning his successful attainment of that resolve, are actually found in the apadana of the elder nun Yasodharā.3

The apadāna genre does not deal solely with noble or glorious deeds and their fruit and may also deal with the effects of bad or evil deeds when this is necessary for the provision of a complete karmic explanation of an individual's biography. As the anonymous author of

the commentary on the Apadana, the Visuddhajanavilasini, makes clear in his treatment of the *Pubbakammapiloti-apadāna* (discussed below): "the stories about bad karma and bad effects are part of the same story which tells of good karma and good effects". The Upālittherāpadāna (ThAp 6) is the first in the collection to contain both an aspiration and a prophecy, and the first to completely conform to the standard apadana pattern. It is one of the longer poems in the collection, incorporating two stories concerning past lives as well as containing two lengthy passages which are irrelevant in the context of the elder monk's karmic biography. However, while the first story of the past concerns his performance of an act of homage towards Padumuttara Buddha, the second relates to an existence in which he committed an offence against a Buddha. According to his apadāna, Upāli was inspired to perform an act of homage towards Padumuttara Buddha as a means of achieving birth at the time of Gotama Buddha and pre-eminence among the monks in his monastic community who know the Vinaya by heart. Padumuttara Buddha prophesied that, after enjoying countless births in pleasurable states of existence, he would achieve his aspiration and realise his goal. The second story of the past is introduced by an assertion by the elder monk that he has achieved the goal upon which he was resolved, and has arrived at perfection in the Vinaya.² He then describes an occasion when, as an arrogant prince named Candana, he caused the elephant on which he was riding to harass a powerful buddha. Although he immediately regretted this act and was forgiven by the buddha, Upāli was born as a lowly barber in his final life as a result of the offence. That this inferior birth did not prevent him from attaining arahant-ship and nirvana demonstrates that the attainment of perfection is possible even to those who fall short of perfection along the way, providing encouragement for those who are setting out on the path to arahant-ship. It also reinforces the Buddhist view that it is the moral

¹ BAp v. 4: aham pi pubbabuddhesu buddhattam abhipatthayim.

² BAp v. 53: tena kammena sukatena cetanāpaņidhīhi ca/jahitvā mānusam deham tāvatimsam agacch' aham.

³ Yasodharā therī apadāna vv. 49-59.

¹ Walters (1) p. 88, paraphrasing Ap-a 114,21-23.

² Upālittherāp. v. 109: so me attho anuppatto vinaye pāramim gato.

quality of one's acts, rather than one's social position, which is ultimately significant.

Although the Pubbakammapiloti-apadāna is described as a buddhāpadāna, its connection with the Buddha is obscured by its placement within the Therāpadāna where it is designated as ThAp 390.1 It is possible that this poem was originally linked in some way with the Buddhāpadāna, and the two poems are considered together in the commentary on the Apadana. The reason for the anomalous placement of this apadana may reflect the problematic nature of its subject matter: the Pubbakammapiloti-apadāna is concerned solely with bad deeds and their karmic fruit. It describes unskilful actions performed by the Buddha in former existences which remained karmically effective after he had mastered the perfections, most bearing fruit after his attainment of enlightenment. The Buddha is said to have related this apadana in order to provide karmic explanations for specific unpleasant events which affected him in his final life, references to which can be found embedded in the canonical literature. The Pubbakammapiloti-apadāna begins with two introductory verses in which the setting of the poem is established, a feature which links this apadana with both the Buddhapadana and the Paccekabuddhāpadāna. While this feature further distances the Pubbakammapiloti-apadāna from the other poems in the Therāpadāna, its setting, near Lake Anotatta (Skt Anavatapta), does link it with the Sanskrit text known as the Anavataptagāthā,2 which is part of the Bhaisajyavastu section of the Mülasarvāstivādin Vinaya and which contains a poem corresponding to the Pubbakammapiloti-apadāna. Bechert, who takes this as an indication that the Pāli apadāna is derived from a recension of the Anavataptagāthā, points out that the poem which precedes the Pubbakammapiloti-apadāna, the Sonakotīvīsattherāpadāna is also set at Lake Anotatta, and that its verses are almost identical to those attributed to the elder monk Koţīviṃśa in the Sanskrit text.¹ In the *Pubbakammapiloti-apadāna*, former unskilful actions performed by the Buddha in twelve previous lives are concisely described, the causal connections between the deeds and their fruit being clearly and succinctly drawn. According to one verse: "[In another former birth] I was a doctor. I administered a purge [which was unnecessary] to the son of a wealthy merchant. As the fruit of that action, I have suffered from diarrhœa [in this life]".²

D.L. Barua points out the stylistic connections between the apadāna and jātaka genres thus: "The Apadāna, ascribed to the Theras and Theris, connect the past existence of these Theras and Theris with the present. Thus, they display at least the two main characteristics of the Jātakas or Birth-stories of the Buddha, namely, the narration of the past life by the Thera or Therī concerned and the identification of the present hero or heroine with the past".3 Furthermore, as in the commentary on the Jātaka collection, some of the poems of the Thera- and Therī-apadāna-s reveal links between individuals and groups of elder monks and nuns in both their former and their final lives. Examples include the apadana-s of the elder nuns Patācārā and Dhammadinnā and that of the elder monk Upāli, in which he is inspired by Padumuttara Buddha's prophecy that an ascetic named Sunanda will, in a future life, be "a disciple of the Teacher [Gotama Buddha], named Punnamantāniputta". In the apadāna of the elder nun Yasodharā, links between the Bodhisatta and herself during countless former births (also established in many jātaka stories) are

¹ It is ThAp 387 in the PTS edition of the Apadana.

² This text is called the *Pañcaśatasthavirāvadāna* in Lamotte p. 692.

¹ Bechert (1) pp. 10-11. I am most grateful to Regina Neumann for translating this article into English for me. See Hofinger (1) pp. 207-10 and ThAp 389 v. 15: thero koṭiviso soṇo bhikkhusaṅghassa aggato/ pañhaṃ puṭṭho viyākāsi anotatte mahāsare ti.

² ThAp 390 v. 28: tikicchako aham āsim seṭṭhiputtam virecayim/ tena kammavipākena hoti pakkhandikā mama.

³ D.L. Barua p. 183.

⁴ Upālittherāp. v. 14: mantāniputto punno ti hessatī satthu sāvako.

stressed, as is their connection in the birth in which he attained enlightenment and she arahant-ship. The close connection between the apadāna and jātaka literary types is also illustrated by the Cariyāpiṭaka. As we have noted above, and as I.B. Horner briefly discusses in the Preface to her translation of the text, the Cariyāpiṭaka refers to itself as an apadana of the Buddha. It is, however, largely based upon the Jataka collection, each of the thirty-five stories it contains describing an action performed in a former life by the Bodhisatta in pursuance of his aim to master the pāramitā-s.

The perfections, which are the subject of four verses in the Buddhāpadāna (vv. 73-76), are first named in the Buddhavamsa, the text which is placed between the Apadana and the Cariyapitaka in the Khuddaka Nikāya and which appears to belong also to the jātaka genre. The central concern of the Buddhavamsa is to present a cosmic history of Gotama Buddha from the standpoint of the mental aspirations (paṇidhāna-s) and resolutions (abhinīhara-s) for buddhahood which he made and the acts of service (adhikāra-s) which he performed in relation to the twenty-four buddhas who preceded him. In response, each of those buddhas made a declaration or prophecy (vyākaraṇa) that he would succeed in his resolve after aeons of striving to fulfill the ten pāramitā-s and achieve the requisite moral purity for the attainment of buddhahood. Through its development of karmic connection and its extension of Gotama's past history, the Buddhavamsa expands the premise of a buddha-lineage, first presented in the Mahāpadāna Sutta, and parallels the canonical Jātaka collection. It is the only Pāli canonical work to formalise the bodhisatta's role and present a developed bodhisatta doctrine of commitment to the arduous path to enlightenment.

In the Buddhavamsa, Gotama is linked to previous buddhas by his aspirations and service, and by their prophecies. In the Thera- and Therī-apadāna-s, the elder monks and nuns are similarly linked to former buddhas and to Gotama, although their aspirations are for sāvakahood and arahant-ship rather than buddhahood. The idea that purposive thought can affect future existence is expounded in one of the sutta-s of the Majjhima Nikāya, the second collection of the Sutta Pitaka, comprising the medium-length discourses attributed to, or approved by, the Buddha. In the Samkhāruppattisutta (The Discourse on Rebirth through Aspiration), the Buddha describes the efficacy of aspiration or purposive thought (samkhāra1) as a means by which a person endowed with certain qualities can obtain a specific rebirth or even freedom from rebirth. Although not all the apadana-s in the Pali collection are fully developed according to the standard pattern, most are histories of the undertaking to be a disciple of a future buddha. The colophon of the Visuddhajanavilāsinī refers to itself as: Buddha-Paccekabuddha-Sāvakattherassa apadānaṭṭhakathā.² The apadāna-s of the elder monks and nuns detail the services performed to fulfil their commitment, and report the achievement, in the time of Gotama Buddha, of the success prophesied for them by the buddha or other person before whom their aspiration was made. The commentary on the verses of the elder monk Vangīsa (Vangīsa-gāthā) includes the following statement: "elder monks who have apadāna-s (sāpadānā therā), like those included in the Apadāna, are those who have an apadāna, technically called a discipleperfection (sāvaka-pāramitā), which is set in motion by a meritorious action performed for former buddhas, paccekabuddhas or disciples of a

¹ Basket of Conduct (Preface) p. v. See also the Preface to Cp-a pp. v-vi.

¹ The commentary explains saṃkhāra as being equivalent to patthānā, "aim, wish". See I.B. Horner's Introduction to her translation of the Majjhima Nikāya where she notes this as being an unusual meaning of the term, and her note on the translation of the title of this discourse in MLS III p. 139, note 1.

² Ap-a 571,11–12.

buddha".¹ The concept of a disciple-perfection, complementing the ten perfections of a buddha, is found in the Nidhikaṇḍasutta (The Treasure-Store Discourse) of the Khuddakapāṭha, the first book of the Khuddaka Nikāya.² This discourse, which is, however, the only one in the Khudda-kapāṭha not found elsewhere in the Pāli canon, contains the verse: "Discrimination, liberations, perfection of disciples too, and both kinds of enlightenment: all that is got by merit's grace".³

The term $s\bar{a}vakap\bar{a}ramit\bar{a}$ does not occur in the $Apad\bar{a}na$, although the concept is implicit in the poems of the collection, reinforcing its close connection to the $j\bar{a}taka$ genre and supporting B.M. Barua's belief that: "the Apadāna may be regarded as a supplement to the Buddhavaṃsa in the sense that it adds the accounts of the Theras and Theris on the lines of the Great Legend (Mahāpadāna) of the Buddhas". It should also be noted that the term $s\bar{a}vik\bar{a}p\bar{a}ramit\bar{a}$ is not used in the corresponding passage in the commentary on the Therigāthā, where it is stated that elder nuns who have $apad\bar{a}na$ -s: "are those who have an $apad\bar{a}na$, technically called a performance of service ($kat\bar{a}dhik\bar{a}rat\bar{a}$)". The term $adhik\bar{a}ra$, "service", 6 does occur in the $Apad\bar{a}na$, notably in a

section of twelve verses in the Yasodharā-therī-apadāna (vv. 72–83) in which she describes her service to innumerable buddhas, pacceka-buddhas and sāvakas. The demonstration in the apadāna literature of the importance of service as a prerequisite for progress on the sāvaka path led B.M. Barua to write: "The doctrine upheld in the Apadāna is what may be technically called the Adhikāravāda",¹ and to propose both that this implied a common date for the composition of the Apadāna, Buddhavaṃsa and Cariyāpiṭaka and that it represented a further connection between them in literary and philosophical terms.

Evidence supporting the consideration of these three texts as a group is available in the commentarial works of the great fifth century scholar Buddhaghosa, whose analysis of the Pāli canon established its authoritative form according to the tradition of the Ceylon Mahāvihāravāsins. In his commentaries, Buddhaghosa lists several ways of analysing the Buddha's word (buddhavacana), the usual classification being its division into nine constituent parts or "limbs" (anga-s). This classification, which does not include apadana, appears to refer to types of text rather than to specific canonical books and divides the Buddha's word thus: suttam geyyam veyyājaranam gātham udānam itivuttakam jātakam abbhutadhammam and vedallam.2 Certain books are mentioned, however, in Buddhaghosa's explanation of the nine terms. The Apadana is not one of the books referred to by name and, despite its links with the jātaka class, it was apparently included in the veyyākarana class which was explained thus by Buddhaghosa: "The whole of the Abhidharma Pitaka, suttas which contain no stanzas and any other (savings from the)

¹ Th-a II 216,20–21 (= PTS ed. III 204,28–31): yesam hi purimesu sammā-sambuddhesu pacceka-buddhesu buddhasāvakesu ca puññakiriyavasena pavattitam sāvakapāramitāsankhātam atthi apadānam te sāpadānā seyyathāpi apadānapāļiyam āgatā therā.

² The problematic canonical status of Khp is discussed in Norman pp. 31–32 and 57–58.

³ Khp 7,31-32 (= Khp VIII 15): paţisambhidā vimokkhā ca yā sāvakapāramī paccekabodhi buddha-bhūmi sabbam etena labhati. I have used Bhikkhu Nāṇamoli's translation of the title and of this verse in Minor Readings p. 9,36-39.

⁴ B.M. Barua p. 175.

⁵ This passage is found in the commentary on the *Sumedha-therīgāthā*, Thī-a 225,16-18 (= PTS edition 297,11-15).

⁶ Adhikāra is a common brahminical term in the meaning "entitlement". In the brahminical religion, a specific adhikāra is the prerequisite for the performance of any religious action. In Buddhism, adhikāra used in the sense of "service" was

made the only valid adhikāra, in the sense of "prerequisite", for spiritual progress.

¹ B.M. Barua p. 176.

² e.g. Sp 28,4-7. This way of classifying the Canon is described in detail in Lamotte pp. 141-45.

word of the Buddha not included in the other eight Angas should be known as the Veyyākaraṇa (Expositions)".1

The vast size of the body of Buddhist teaching was responsible for problems, not only of arrangement and classification, but also of accurate transmission, even after the Pāli canon was first written down, some time during the first century B.C.E. Following the rehearsal of the Buddha's teaching at the first communal recitation (sangīti) held, according to all Buddhist traditions, soon after the Buddha's death, it appears that a system of specialist reciters, bhāṇaka-s, was established in order to preserve the texts agreed to be part of the buddhavacana. The bhāṇaka-s were responsible for memorising and transmitting particular parts of the canon, and the commentaries contain references to bhāṇaka-s of the first four nikāya-s. A single reference to Khuddaka-bhāṇaka-s occurs in the post-canonical text, the Milinda-pañha.2 Buddhaghosa describes the Apadana as the thirteenth book of the Khuddaka Nikaya of the Sutta Piṭaka,3 but he also records the conflicting views of the Dīghaand Majjhima-bhāṇaka-s with regard to this arrangement. The Majjhimabhāṇaka-s are said to have held that the monks at the first communal recitation recited fourteen texts which they called the Khuddaka-gantha and included in the Suttanta Piṭaka. The texts — Jātaka, Mahā- and Cūla-niddesa, Paṭisambhidāmagga, Suttanipāta, Dhammapada, Udāna, Itivuttaka, Vimānavatthu, Petavatthu, Theragāthā, Therīgāthā, Cariyāpiṭaka, Apadāna and Buddhavaṃsa — are the same as those given by Buddhaghosa for the Khuddaka Nikāya with the single omission of the Khuddakapāṭha. The Dīgha-bhāṇaka-s omitted not only the Khudda-kapāṭha but also the Cariyāpiṭaka, Apadāna and Buddhavamsa from their Khuddakagantha, which they included in the

¹ Translation of Ps II 106,13-15 in Norman p. 15.

Abhidhamma Pitaka. This would support the inclusion of the Apadana within the veyyākarana class of scripture. The ambiguity concerning the canonical position of the Apadana collection reflects that of the apadana genre, and the classification of the Dīgha-bhānaka-s further reinforces the close relationship which exists between the Apadana and its neighbouring texts.

The Apadana collection is also closely connected with other books of the Khuddaka Nikāya. B.M. Barua considered that the focus in the Apadana on "acts of piety" links the text not only to its close canonical neighbours but also to the Vimānavatthu, the sixth of the Khuddaka texts. In his Foreword to the Sinhalese edition of the Apadāna, Ven. P. Paññānanda writes: "in terms of the analysis of the teaching and its meaning, the Apadanapali comes within the category of the description of karma like the Jātaka, Buddhavamsa, Petavatthu and Vimānavatthu, etc.". B.M. Barua does not appear to have been aware that the apadana genre was not exclusively concerned with pious actions, for he does not refer to the *Petavatthu*, the complementary text to the Vimānavatthu. The Vimānavatthu contains 83 stories in verse in which gods (deva-s) relate former good actions to explain their present enjoyment of life as inhabitants of heavenly mansions (vimāna-s). These stories can be compared with a number of apadana-s, including the first five in the Therapadana collection. The Petavatthu contains 51 stories in verse in which a departed one (peta) explains the former wicked deed responsible for his or her existence in an unhappy state of rebirth. The use in these texts of the term vatthu (Skt vastu) to mean "a story, account", corresponds to that in the technical terms denoting the past and present stories of the commentary on the Jātaka (the paccuppannavatthu

² Mil 342,1. This text, although probably not traditionally regarded as canonical in Myanmar, is included in the Chatthasangīti edition of the Tipiṭaka.

³Sp 18,12–16.

¹ Sv 15,22–29.

² Apadānapaļi Part I p. xiii: dharmārthavibhāga visin jātaka buddhavamsa petavatthū vimānavatthu ādiya men kammassakatājñāņayehi väţena apadānapaliya.

and the atītavatthu). Fragments of a Turfan manuscript of the Sārvāstivādin Kṣudrakāgama indicate that it contained two texts identified as the Vimānāvadāna and Pretāvadāna. According to Bechert, these are of the same character and based on the same tradition as the Pāli Vimāna- and Peta-vatthu-s, reinforcing suggestions of a close correspondence between the avadāna (and, by implication, apadāna) literary types. Indications within the Pāli versions of these texts provide evidence of borrowing from and parallels with the Jātaka collection. This further demonstrates the interconnections between the various types of narrative literature concerned with the doctrine of karma, and underlines the preponderance of such texts in the Khuddaka Nikāya.

The texts which appear on the surface to be most closely related to the Apadāna are the Thera- and Therī-gāthā-s, the eighth and ninth books of the Khuddaka Nikāya. These are collections of poems (gāthā-s, lit. "stanzas") attributed to or connected with more than three hundred elder monks and nuns, many of which contain descriptions of the religious experiences and attainments of their subjects in their "present" lives. The form and intention of the individual gāthā-s and the structure of the collections, however, reveal that the relationship between these books and the Apadāna differs from those we have so far considered. While many of the verses in the Thera- and Therī-gāthā-s were apparently indiscriminately selected from a large, remembered body of verses associated with specific elder monks and nuns, most of the poems of the Thera- and Therī-apadāna-s reveal a deliberate process of composition, in accordance with a specific doctrinal intention. The poems

in the Thera- and Therī-gāthā-s are arranged, like those of the Jātaka, according to the number of verses they contain, and a wide range of metres is represented in the collections. A numerical system of arrangement is not followed in the Apadāna and it is composed entirely in śloka metre, with the exception of the first three verses of the Buddhāpadāna and the Paccekabuddhāpadāna which are in triṣṭubh metre. The commentaries on the Thera- and Therī-gāthā-s make it clear that some of the elder monks and nuns, whose verses are included in the collections, lived after the time of the Buddha. The message developed through the pattern of the lives of the elder monks and nuns in the Apadāna is underlined by their all having achieved the goal of birth at the time of Gotama Buddha and, thereby, direct access to his teaching.

The apadana-s are histories of individual careers culminating in such achievements as those celebrated in the $g\bar{a}th\bar{a}$ collections, and can be seen to provide explanations for those achievements in terms of past conduct. The monk Dhammapāla, who followed the tradition established by Buddhaghosa, based much of his exegesis of the poems in the Theraand Theri-gāthā-s on the Apadāna tradition. Where appropriate, the relevant apadana is quoted in its entirety in the commentary, although the attribution is not always accurate, and the elder monks and nuns do not completely overlap in the two collections. The quoted apadana-s appear to have been taken from a different and much older version of the Apadāna collection than that currently available, although it is probable that these apadana-s were inserted by scribes after the time of Dhammapāla. Indeed, Woodward gives this as his reason for not including the quoted verses in his edition of the Theragatha commentary, saying: "Looking through the versions [of apadana-s] given in our MSS. I find that they differ in almost every line from those of our P.T.S.

¹ Bechert (2).

² Norman pp. 71–72.

³ The final two poems of the *Therīgāthā*, attributed to the elder nuns Isidāsī and Sumedhā, are notable exceptions, being literary compositions which display many features associated with *apadāna*-s, such as the description of previous births.

¹ In his review of Dīghanikāya-aṭṭhakathā-ṭīkā Līnatthavaṇṇanā, L.S. Cousins suggested a possible date of the seventh century for Dhammapāla. Cousins (1) p. 163.

edition, and from each other".¹ Although the differences are often slight, the versions of the apadāna-s inserted in the commentaries on the gāthā collections occasionally preserve portions of the text which are no longer included in the Apadāna itself. For example, the commentary on the Mahāmoggallānattheragāthā quotes two separate versions of the poem preserved in the Apadāna collection in its current form.² As Bechert points out, however, it is these discrepancies which give the apadāna-s quoted in the commentaries their special value.³

Müller demonstrated that of the forty apadana-s ascribed to elder nuns, 33 are quoted in the Therigāthā-aṭṭhakathā, sometimes with different attributions.4 The form of the apadana-s, in general, reveals a deliberate process of selection, structuring and restatement similar to that developed and elaborated in the prose commentarial works of the Pāli tradition. Warder considers the Apadana to be "almost a commentary on the Theragatha"5 and implies that it was regarded as such at the time of the writing down of the canon. Bechert regards the apadana-s of the elder monks and nuns as being "the verse versions of the pre-birth stories told to the Th and Thī",6 and believes that the apadāna-s were derived from old commentarial material connected with the Thera- and Therīgāthā-s. The close links between the Apadāna and a wide group of Khuddaka Nikāya texts (including but not limited to the Thera- and Therī-gāthā-s) which we have already noted must, however, also be considered in any attempt to define the collection. Although the place of the Apadana in the Pali canon was not universally accepted before Buddhaghosa, and despite a recent description of the Buddhāpadāna as a

Mahāyāna text,¹ its canonical context should not be disregarded. Norman and D.L. Barua, in describing the *Apadāna* as an "appendix" to the *Thera*- and *Therī-gāthā*-s,² more accurately reflect its developed and supplementary nature, and acknowledge its canonical attribution.

The construction of legendary biographies for elder monks and nuns reflected not only an expansion of karmic story literature, but also a growing interest in the personalities of the individuals associated with the Buddha; it represents monastic awareness of and response to this interest. Like the gatha collections, the Thera- and Theri-apadana-s were compiled from a number of sources. Snippets of biographical information and stories concerning a number of elder monks and nuns are found throughout the Theravadin canon. As Brough reminded us: "It has long been understood that the surviving early Buddhist literature is to a large extent secondary and often composite".3 The canon did not provide the only material for the author (or authors) of the hagiographies which comprise the Thera- and Therī-apadāna-s, although it is not possible now to identify the extra-canonical sources. The Apadana itself, through presenting a coherent set of biographical references for those elder monks and nuns regarded as perfected disciples, became a source for the homiletic and hagiographic literature of the post-canonical and commentarial traditions. In the Introduction to her translation of the Therīgāthā, Mrs Rhys Davids notes that the apadāna-s were the end product of a deliberate process of composition: "The canonical Apadana, in its metrical tales of thirty-three of the theris, reveals their pre-natal legends already grown".4 A similar process of selection and composition was used to produce a comprehensive, connected biography for the Buddha himself.

¹ PTS edition of Th-a I (Preface) p. vii.

² Th-a II 173-74 and 191-92.

³ Bechert (1) p. 3.

⁴ Introduction to the PTS edition of Thi-a, pp. ix-xi.

⁵ Path of Discrimination (Introduction) p. xxxviii.

⁶ Bechert (1) p. 14.

¹ Discussed below.

² Norman p. 89 and D.L. Barua p. 183.

³ Brough (Preface) p. xiv.

⁴ Psalms of the Sisters (Introduction) p. xviii.

Stories such as those which formed the starting point for the composition of developed hagiographies in the Theravadin tradition are also found in the canonical literature of other Buddhist schools, including the Madhyamāgama of the Chinese Sūtra Piṭaka and the Vinayavastu of the Mulasarvastivadins. The majority of texts in the Sanskrit tradition classified the buddhavacana into twelve constituent parts, adding three new categories to the ninefold list. One of the new classes was the avadāna, which the Abhidharmasamuccaya of the Yogācāra school2 ascribes to the Vinaya Piṭaka together with the jātaka. As in the Pāli tradition, it appears that: "the canonical status of the avadanas as a genre was fraught with ambiguity".3 However, the avadāna literature was developed and greatly expanded in the Sanskrit tradition over a considerable period of time, and a huge body of extra-canonical avadāna literature came into existence. Many of the Sanskrit avadāna-s were compiled in special verse collections, generally known as $avad\bar{a}nam\bar{a}l\bar{a}$, "garlands of avadāna-s", and they were based on a variety of sources including the early Sanskrit canonical tradition. No comparable expansion occurred with regard to the apadana literature, its function having apparently been assumed by commentarial and extra-canonical literature, so that the Apadana remains the only collection preserving this genre in the Pāli tradition.

The few scholars who have worked on individual apadāna-s from the canonical collection have suggested links between them and either non-Theravādin schools of the Śrāvakayāna which used Sanskrit for their literature, or early schools of the Mahayāna. Nakamura says of

¹ The other two additions were the *nidāna* and the *upadeśa*. These are discussed in Lamotte, pp. 145–46.

the avadāna literature (in which he includes "Pāli Avadānas"): "The Avadāna texts stand, so to speak, with one foot in the Hīnayāna literature, and the other in the Mahāyāna literature". 1 As a collection, in the form in which it has come down to us, the Apadana is emphatically Theravadin. It is composed in Pali, and its constituent poems share many verses, metrical units (pada-s) and formulae with other texts of the Theravadin canon. This is, of course, partly a function of the oral nature of early Buddhist literature,² and of the "veneration of the religious cliché" which permitted the incorporation of "usable quarters" of existing verses in poetic composition.³ It also, however, reinforces the Theravadin context of the collection and of its parts. In the final redaction of the Apadana, the individual poems have been deliberately placed within a formal structure which provides them with a specific doctrinal framework. The collection consists of sections containing apadana-s about Gotama Buddha, paccekabuddhas and disciples (sāvaka-s) who were members of the Buddha's monastic community. This structure links the Apadana with the threefold ideal grouping of sāvaka, paccekabuddha and sammāsambuddha which characterises all the Śrāvakayāna schools including the Theravada. As noted above, it is apparent that the Paccekabuddhāpadāna was specifically composed in response to the demand that the structure of the Apadana reflect this threefold ideal.

The first formal expression of this set in found in the *Paṭisambhidāmagga*,⁴ the book immediately preceding the *Apadāna* in the *Khuddaka Nikāya*. This is a comparatively late text which was apparently arranged deliberately to set out, in a systematic order, the way

² This treatise is attributed to Asanga for whom Paul Williams tentatively ascribes the dates 310–90 C.E. The concordance between the *Tripiṭaka* and the twelve generic constituents is tabulated in Lamotte, p. 147.

³ Strong (1) p. 163.

¹ Nakamura p. 137.

² On this subject, see e.g. Collins (2), Cousins (2) and Gombrich (2).

³ Brough (Preface) p. xvii.

⁴ e.g. Patis II 3,23-27. Translation, *Path of Discrimination* p. 210,15-22, where they are listed together in respect of their development of the faculties (*indriyāni*).

to Enlightenment preached by the Buddha. 1 It does appear, however, to have been universally accepted as part of the Theravadin canon before the Apadāna was so recognised.2 Experience of the four patisambhidā-s, "branches of analytical insight", is included in the formulaic verses descriptive of the attainment of arahant-ship which occur at the end of the apadana-s of elder monks and nuns and in some poems in the Theraand Theri-gāthā-s.

In Mahāyāna Buddhism, the ideal of the arahant was replaced by that of the bodhisattva, and aspiration to the type of enlightenment achieved by a savaka or a paccekabuddha came to be considered as limited and inferior (hīna), and as an obstacle on the path to the perfect enlightenment of a sammāsambuddha. In the texts of the Pāli canon, the term bodhisatta is generally used to refer to Gotama Buddha, in connection with his long period of preparation for buddhahood. As we have seen, the Buddhāpadāna, is a text in which Gotama describes an episode from his career as a bodhisatta, thus closely linking it to the jātaka literature. Although it has been described by Bechert as "a fullfledged Mahāyāna text" within the Theravādin tradition,3 its goal is not "to recommend to all the bodhisattva path aiming at full enlightenment" although this is one of the characteristics of texts belonging to the Mahāyāna. 4 The intention of the author of the Buddhāpadāna was to fulfil the requirements of the apadana genre and to explain attainments in the present through the description of an action performed in the past. There is no reference in the poem to any bodhisatta other than Gotama, nor are bodhisatta-s as a group included among the perfected beings to

¹ Discussed in Warder pp. 312–15.

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whom he pays homage. D.L. Barua, who considered the Buddhāpadāna to be a "striking specimen of early Buddhist poetry", provided an English translation of it in order to draw attention to its poetic merits. In the article accompanying his translation, he says of the Apadana: "It also divulges the difference between the achievements of a Perfect Buddha, a Paccekabuddha and a Perfect Disciple, all of whom are arahants". Thus, while positing the view that the last three books of the Khuddaka Nikāya are examples of what he calls "Mahāyāna in the Making", he makes it clear that the basis of the Buddhavamsa, Apadana and Cariyapitaka is in the doctrines of the non-Mahāyāna tradition.

In his study of Pāli literature, Norman draws attention to the fact that examples of mainland Prakrit features uncommon in Pāli are found in the Apadana, one such feature being particularly associated with the Buddhāpadāna.² This underlines the eclectic nature of the Pāli collection, although it does not imply a non-Theravadin origin for this apadana or for the collection as a whole. Despite noting the parallels between some of the Pāli apadāna-s and poems in the Anavataptagāthā, 3 this is not an assumption made by Norman although, as we have seen above, it is the view subscribed to by Bechert, who uses it to explain the anomalous placement of the Pubbakammapiloti-apadāna. Walters also postulates a non-Theravādin origin for the Pubbakammapiloti-apadāna, believing it to be a work of either the Sarvāstivādin or Mahāsānghika tradition.⁵ It appears more likely, however, that versions of this apadana existed before the division into sects took place, and other similarities between the Pāli Apadāna collection and the Anavataptagāthā may support this

 $^{^2}$ On the question of the comparative dating of the $Patisambhid\bar{a}magga$ and its rejection by the Mahāsānghikas, see Norman p. 88.

³ Bechert, (2) p. 102.

⁴ See the guidelines proposed for determining the classification of a sūtra in Skilling p. 143, note 1.

¹ D.L. Barua p. 183. See also Ven. Saddhatissa's Introduction to his edition of the Upāsakajanālankāra, pp. 16-19.

² Norman pp. 91–92.

³ Norman p. 92.

⁴ Bechert (1) pp. 11–13.

⁵ Walters (1) pp. 77–79.

explanation. Norman also notes the existence of avadāna-s in Tibetan and Chinese corresponding to the Pubbakammapiloti-apadāna, and he deduces that: "the Apadāna was the common property of both Theravādins and Sarvāstivādins".

Bechert based his identification of the Buddhapadana as a Mahāyāna text on the presence within it of certain ideas which were particularly developed and emphasised in certain texts of the Mahāyāna tradition. However, concepts such as the transfer of merit (pattānumodanā, referred to in three verses of the Buddhāpadāna), and the prophecy by an enlightened being of the successful fulfillment of an aspiration (found in most of the apadana-s attributed to elder monks and nuns), were also developed in post-canonical Theravadin literature. The idea of a buddha-field (buddha(k)khetta), which is presented in some detail in the Buddhāpadāna, is also found in a more elaborate form in the Mahāvastu. This Mahāsānghika text is formally classified as Śrāvakayāna, despite its inclusion of many proto-Mahāyānist features and its exaltation of the Buddha as a supramundane (lokottara) being.2 While concepts such as these became particularly highly evolved within Mahāyāna doctrine, it is misleading to assume that their presence in a Theravadin text implies an "infiltration" of the Mahavihara Theravadin tradition from an external source. Their presence in the Apadana does, however, imply a comparatively late date for its final redaction, and suggests that it was subject to a complex process of accretion and selection before that time.

Bechert proposes a date of the first century B.C.E. for the first version of the Apadana collection, and he suggests both that it underwent several enlargements after that time and that "at least three different versions of the Apadana had existed". This would coincide with the traditional date given in the Sinhalese chronicles for the writing down of the Pāli canon and its commentaries which they place within the second reign of Vattagāmaņi Abhaya (89-77 B.C.E. according to the chronology accepted by the University of Ceylon²). This activity apparently occurred in Cevlon at a time of sectarian monastic rivalry, "when the position of the Mahāvihāra as sole legitimate custodians of Buddhism was under threat".3 While the nature of the Apadana collection is such that alteration of the number and placement of its contents was possible even after it had been written down, the framework of the collection is only meaningful in terms of its canonical context. This must, therefore, have been established by the time its scriptural status was accepted and at the time it was written down as one of the canonical texts of the Mahāvihāra tradition. The fact that it does not appear to have possessed a commentary at that time may indicate that it achieved its final form only shortly before it was written down.

Bechert, however, does not believe that the Buddhāpadāna formed part of the first version of the Apadāna, and he dates its composition to either the first or second century C.E. at approximately the same time as the Sukhāvatīvyūha, a Mahāyāna text in which the concept of the buddha-field is particularly elaborated. As Gombrich points out, however: "There has long been a general consensus that the earliest surviving Mahāyāna texts go back to the second or first century BC". Those elements in the Apadāna which reflect developments within

¹ Norman p. 92. Scholars including Paul Harrison and Peter Skilling are now studying some of the Chinese and Tibetan texts, and their work will enable effective comparisons to be made between the different versions of this text.

² The place of this teaching in terms of the origins of Mahāyāna Buddhism is discussed in Williams pp. 16-20.

¹ Bechert (1) pp. 11–14 and (3) p. 101.

² History of Ceylon, Vol. I Part II p. 843.

³ Collins (1) p. 98. See also Adikaram pp. 78–79 and 93–94.

⁴ Gombrich (2) p. 29.

Theravadin thought, some of which were further developed and emphasised in Mahāyāna Buddhism, appear to date to approximately this period. By this time, the Buddha's teachings had spread far beyond the original area in which he personally travelled and preached. Warder tentatively dates the Patisambhidāmagga and the Buddhavamsa to the early late second century B.C.E. and the Apadana to the early first century B.C.E.1 Both Walters and B.M. Barua consider the Apadana, together with the Buddhavamsa and the Cariyāpitaka, to be a product of the post-Asokan era, and to date to some time during the second century B.C.E.² This view is supported by references in the apadāna-s of the elder monk Punnamantaniputta and the elder nun Khema to the Kathāvatthu, the fifth book of the Abhidhamma Pitaka.3 This text is said to have been recited at the third communal recitation, the so-called Council of Pātaliputta, in order to refute heretical doctrines, although reference to the text is omitted from the account of this communal recitation in the Chinese recension of the Samantapāsādikā.⁴ This gathering, which is only mentioned in the Pali chronicles and commentaries of the Theravadins, and which evidently only concerned them, is said to have taken place during the reign of the Emperor Aśoka (middle third of the third century B.C.E.). The philosophical and mythological content of the poems in the Apadana, and its highlighting of formal aspects of religious behaviour and ritual practice, also uphold the conclusion that it is a post-Asokan text which was taken to Ceylon before the end of the first century B.C.E. and included in the canonical corpus.

Many legends were constructed by the Buddhist traditions around the person of Asoka, who has been called "the greatest political

and spiritual figure of ancient India". It is certainly possible, however, that his patronage was responsible for establishing Buddhism over a far wider area than would have been conceivable before the founding of the Mauryan Empire by his grandfather, Candragupta, in around 324 B.C.E. Aśoka is traditionally connected with what Warder refers to as "the popularisation of Buddhism",² and with the enthusiastic promotion of religious activities such as pilgrimage and the veneration of relics through the construction of stūpa-s and shrines. By the time of Aśoka, not only were the Buddha and his chief disciples long dead, but so too were the monks and nuns who had been ordained and directly taught by them. The Buddha had left his doctrine and the corpus of monastic rules, rather than a person, in his place as the central authority for the religion which he had founded. He had charged his monks with the dissemination of his teachings, a responsibility which entailed the further duties of preservation and interpretation. He is said to have sent out the first sixty monks with the instruction: "Monks, preach the Dhamma, which is lovely at the beginning, in the middle and at the end ... and proclaim the pure religious life (brahmacariya)".3 The doctrine, however, involved "abstract ethics and abstruse concepts",4 and making it generally accessible was a fundamental and continual problem for the monastic community which was dependent on lay support for its survival in the long term, as well as on a day-to-day basis.

Hallisey notes that summaries and anthologies of the teachings were produced as one response to this problem,⁵ and this would appear to provide a plausible motive for the compilation of a number of the collections in the *Khuddaka Nikāya* including the *Apadāna*. According to

¹ Path of Discrimination (Introduction) p. xxxix.

² Walters (2) and B.M. Barua p. 176.

³ Punnamantāniputtattherāp. v. 6 and Khemātherī-apadāna v. 90.

⁴ Lamotte pp. 272–74.

¹ Lamotte p. 223.

² Warder chap. 8.

³ Vin I 21,4–7.

⁴ Gananath Obeyesekere, quoted in Obeyesekere (Introduction) p. x.

⁵ Hallisey p. 39.

Warder, the movement to spread and popularise Buddhism in the centuries immediately following the death of the Buddha was parallelled by two lines of development in Buddhist literature. These were: the creation and use of new metres in poetry, and the elaboration of many existing narratives which were appended to the Khuddaka Nikāya "in order to satisfy the popular demand for stories".1 While it is fundamentally true that the Theravadin tradition "is the product of texts composed by, and indeed largely for, monks and nuns",2 homiletic texts such as the Apadana were intended to be used by monks and nuns in their role as preachers and transmitters of the Buddhist doctrine. They were thus directed particularly towards lay audiences, although this is not to suggest that they could not have been used in teaching monks and nuns as well. B.M. Barua points out that "the Apadana legends combine by a peculiar mythological device the pious life of a householder with the higher attainments of the recluse, the latter overshadowing the former".3 In the apadāna-s of the elder nuns Paṭācārā and Dhammadinnā, it is specifically stated that they were forced to remain in the household life "attached to attendance on [Kassapa] Buddha (buddhopaṭṭhānaniratā)" in a former life after their father refused to let them become nuns.4 Storytelling gives an immediacy and concreteness to abstract ideas, in much the same way that the establishment of monuments to mark places connected with the life (historical and legendary) of the Buddha made Buddhism "something physically accessible to the entire population".5 As Obeyesekere makes clear: "They [Buddhist stories] have been central to the dissemination of Buddhist values and doctrine".6 The corrupt nature of the text of the Apadana, already apparent in its (post-eleventh century)

commentary, suggests that its poems may have been found to be less accessible than the prose narratives based on the *Apadāna* tradition which were included in the commentarial literature. Support for this suggestion may be found in the fact that a thirteenth century *ānisaṃsa*, "advantage", text attributed to the thirteenth century Sinhalese monk Siddhattha Porāṇaka Thera, quotes a number of *apadāna*-s from the commentary on the *Theragāthā* rather than from the *Apadāna* itself.¹

It has been suggested that dramatic performances of texts like the Apadana were presented at religious festivals, 2 but it appears that, at a relatively early date, the Apadana declined in popularity as a preaching text, although parts of it continued to be quoted and used as the basis of stories in prose anthologies. Its homiletic function was apparently taken over by prose narratives such as those in the Pūjāvaliya, a thirteenth century collection of stories in Sinhala some of which contain quotes from the Apadana itself. Its author, Mayurapada Thera, states that the Pūiāvaliva was intended for public recitation, and Pieris and van Geyzel noted that it was still widely employed for that purpose when they translated stories from it into English in the 1960s.³ The Apadana, however, appears to have stopped being recited and studied and, as a consequence, it was carelessly copied and transmitted. It is also possible that the apadana-s were originally embedded in a prose framework (similar to that found in the Jātakatthavannanā) which has not been preserved. Much more work needs to be done on establishing the Apadāna text, however, before any firm conclusions can realistically be drawn regarding this question.

¹ Warder p. 228.

² Gombrich (1) p. 87.

³ B.M. Barua p. 178.

⁴ Paṭācārātherī-ap. vv. 16-17 and Dhammadinnātherī-ap. vv. 18-19.

⁵ Warder p. 267.

⁶ Obeyesekere (Introduction) p. x.

¹ This text is incorporated and explained in the *Pasādajananī nāma āvāsadānānisaṃsavaṇṇanā*, available in an undated edition. Entire *apadāna*-s are quoted in order to illustrate the advantages resulting from specific acts of piety.

² Warder pp. 238 and 280-81 and Walters (2) p. 35.

³ Reynolds p. 168.

Unfortunately, the versions of the Apadana which are available to us now reveal that we possess a corrupt and late redaction of the text. The relationship between the extant Apadana and its commentary reflects the generally problematic nature of the text. The Visuddhajanavilāsinī contains no commentary on the Theri-apadana, although the author does refer to it at the beginning of his commentary, stating that: "the forty apadāna-s in the Therī-apadāna are grouped in four sections". 1 It also appears to be based on a version of the text which is longer than that currently accepted as authoritative, containing commentaries on and references to 561 apadāna-s in the Therāpadāna section. All modern printed editions of the Apadana, except that by Mary Lilley for the Pali Text Society, contain a total of 550 apadāna-s attributed to elder monks, although this figure is not supported by the majority of manuscripts I have consulted. The manuscripts, like Lilley's edition, omit three poems (ThAp 332-34) and thus contain a total of 547 apadana-s, parallelling Fausbøll's edition of the Jātaka collection. These three omitted apadāna-s, which are actually referred to in the summary verse (uddāna) of the relevant chapter of Lilley's edition, are all, however, found elsewhere in the collection, as are two of the eleven additional apadāna-s preserved in the commentary.² In his Foreword to the Sinhalese edition of the Apadana, Ven. P. Paññananda states that there are 55 sections in the Therāpadāna although the edition actually contains 56 sections, due to its inclusion of the nine unduplicated additional apadana-s quoted in the commentary. Similarly, the Burmese and Nālanda editions include all eleven additional apadana-s, and it appears that the editors of these three modern versions have attempted to reconstruct the text with the assistance of the commentary. Each of these additional apadana-s is quoted in the commentary on the Theragatha, and it is possible that these poems were deliberately removed from the collection, some time after the composition

of the Visuddhajanavilāsinī, by a redactor who wished to underline the correspondence between the apadāna stories of the elder monks and the jātaka stories of the Buddha. Although Bechert believes that the eleven additional apadāna-s were taken from the Theragāthā-aṭṭhakathā by the commentator on the Apadāna, he also admits the possibility that the final version of the Apadāna was the result of an attempt to match more closely the number of stories in the Jātaka collection.

It is not only the corrupt nature of the text which has led to the general lack of scholarly interest in both the Apadana collection and the apadāna as a literary genre. The following statement by B.M. Barua reflects the common attitude concerning the text: "the Apadana marks a stage in the growth of the Buddhist creed when the ethical side practically disappeared yielding place to the popular. The result was that the emotional side of the faith devoured its previous rationality".² The "popular" character of the text, deduced from the emphasis in the Theraand Theri-apadana-s on the performance by lay people of ritual actions which resulted in specific and desirable karmic rewards, was noted with disdain. This led to its being regarded as a late corruption, unrepresentative of early Buddhism and untrue to the original teachings of the Buddha, the attempted reconstruction of which has occupied the majority of those involved in the field of Pali studies. With growing scholarly interest in comparing texts of the various schools and in locating the origins of the Mahāyāna within texts of mainstream Buddhism, this attitude is beginning to change. The Apadāna contains descriptions of a wide range of ritual activities including alms-giving, veneration of a Buddha or his relics, the donation of monasteries to the community of monks (and nuns), and the presentation of objects connected with stūpa-s. The assurance that such actions are efficacious and will bear the appropriate fruit in the future is upheld in the apadana-s

¹ Ap-a 101,20: therī-apadānesu cattāļīsam apadānāni vaggato caturo vaggā.

² See Bechert (1) pp. 15-16. He believes that all the additional *apadāna*-s are duplicates, although this is not strictly true for nine of them.

¹ Bechert (1) pp. 14–15.

² B.M. Barua p. 179.

of elder monks and nuns. This feature links the $apad\bar{a}na$ -s with the $\bar{a}nisamsa$ literature, which Norman classifies as part of the $j\bar{a}taka$ genre. Strong defines it as "a genre of text comprising stories that extoll the advantages of meritorious deeds", and notes that these texts were composed specifically by monks for the laity. The $Apad\bar{a}na$ commentary includes a passage containing seven verses praising the advantages $(\bar{a}nisams\bar{a})$ of Bodhisattas who have made an aspiration for buddhahood, reinforcing the relationship between the two types of text.

The problematic features of the Apadāna collection are a result of its composite nature, and reflect the changes and developments in Buddhism in the centuries between the death of the Buddha and the writing down of the Theravādin canon. While a number of individual apadāna-s would in themselves be suitable subjects for detailed study, the production of a reliable edition of the text and an accurate English translation of the complete collection and consideration of this fascinating text as a composite whole is a priority.

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Abbreviations

References to Pāli texts and to grammatical terms generally use the abbreviations of the *Epilegomena* to Volume I of the *Critical Pāli Dictionary*.

Where they do not, the abbreviations preferred are included in the following list of additional abbreviations and signs which have been used above. All Pāli texts with one exception are PTS editions. The Buddha

Jayanti (Sinhala script) editions of the commentaries on the *Theragāthā* and *Therīgāthā*, in which the quoted *apadāna*-s are given in full, were preferred to the PTS edition.

ap. apadāna

BAp Buddhāpadāna

B.C.E. Before the Common Era

C.E. Common Era

chap. chapter

CPD Critical Pāli Dictionary (Ed. V. Trenckner, Dines Anderson and H. Smith, Copenhagen, 1924-)

EVI Elders' Verses Volume I (translation, with notes, of the Theragāthā by K.R. Norman, London, 1969)

GDII Group of Discourses Volume II (translation, with notes, of the Suttanipāta by K.R. Norman, Oxford, 1992)

MLS Middle Length Sayings 3 volumes (translation of the Majjhima Nikāya by I.B. Horner, London, 1954–59)

MW Monier-Williams' Sanskrit-English Dictionary

PBAp Paccekabuddhāpadāna

PED Pali-English Dictionary (T.W. Rhys Davids and W. Stede, London, 1921-25)

PTC Pāli Tipiṭakaṃ Concordance

PTS Pali Text Society

THIH Thus Have I Heard (translation of the Dīgha Nikāya by Maurice Walshe, London, 1987)

ThAp Therāpadāna

ThiAp Theri-apadana

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¹ Norman p. 178.

² Strong (2) p. 347 (Glossary).

³ Ap-a 48,16-49,20.

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SOUTH ASIAN FLORA AS REFLECTED IN THE TWELFTH-CENTURY PĀLI LEXICON ABHIDHĀNAPPADĪPIKĀ

The Abh, the only ancient Pāli lexicon, was written by the Thera Moggallāna of the Vilgammula fraternity, resident at the Jetavana mahāvihāra built by king Parākramabāhu I (1153–86) in Polonnaruwa (Sri Lanka).²

There is a difference of opinion as to whether the author of this lexicon was the same as the famous grammarian Moggallāna Thera who lived at the same time.³ A convincing argument in favour of the theory that they were two different authors is that the grammarian belonged to the Uttorulamūla,⁴ whereas the lexicographer belonged to the Sarogāmamūla. It is possible that the eminent Theras of the eight fraternities were living together at the great monastery Jetavana, where king Parākramabāhu I had built "eight costly pāsādas, three storeys high, for the Theras dwelling in the sacred district".⁵

¹ P. Sarogāmamūla, identified by H.W. Codrington with modern Vilgammula in Laggala Pallēsiya Pattuva. See *Cūlavaṃsa*, Vol. I, p. 316, fn. 2.

The eight Buddhist fraternities ($m\bar{u}la = \bar{a}yatana$) which existed in medieval Sri Lanka were: Galaturumula (P. Selantaramūla), Kapārāmula, Uturumula (P. Uttoruļamūla), Vādummula, Mahanetpāmula, Dakuņumula (P. Dakkhiṇamūla), Senaratmula (P. Senāpatimūla) and Vilgammula. See M.B. Ariyapala, Society in mediaeval Ceylon, Colombo 1968 (reprint), pp. 233–34.

² See colophon of the Abh ed. Subhuti, p. 182.

³ D.M. de Z. Wickremasinghe (Catalogue of the Sinhalese manuscripts in the British Museum, London 1900, p. xv) considered the two authors to be the same person whereas Buddhadatta (Theravādī Bauddhācāryayō, Colombo 1960, pp. 85–87) and Geiger (Pāli Literature and Language, Tr. B. Ghosh, New Delhi 1978 (reprint), pp. 55–56) take them to be two different authors.

The Tamil slab-inscription of the Velaikkāras, Epigraphia Zeylanica, II.6.254. Cūlavamsa, 78.33. The galaxy of Buddhist Elders who were patronised by king Parākramabāhu I were all great scholars, proficient especially in Sanskrit. For instance, Moggallāna Thera, the grammarian (whether he was or was not the